



Application and Identification Form

Trusts/super funds

1. Details

Trustee name(s)

Trust/super fund name

--

Country where the trust/super fund was established (if not Australia)

--

2. Investor contact and communication

Name

--

Contact telephone number

--

Email address (all general communication will be by email)

--

Postal address

--

3. How much are you investing?

Minimum investment: \$500,000 (Special arrangements may be possible in certain circumstances for smaller amounts.)

\$

--

Unless you check the box, distributions are reinvested:

Do not reinvest distributions

Funds are to be paid into the following bank account no later than the fourth last business day of the month

Account Name: **Conscious Capital Limited atf The Conscious Investor Fund**

BSB: **012 - 006**

Account No: **8361 - 88699**

Please reference your name on the funds transfer.

OR, if paying by cheque, make cheques payable to: *Conscious Capital Limited atf the Conscious Investor Fund.*

Please cross "Not negotiable".

4. Your banking details

We are only permitted to pay redemption or distribution proceeds into a bank account in the name of the applicant. Also redemptions cannot be paid via cheque or in cash.

Account name	Financial Institution
<input type="text"/>	<input type="text"/>
BSB	Account number
<input type="text"/>	<input type="text"/>

5. Tax file number (TFN)

You do not have to tell us your TFN. However most people do since if you choose not to and do not have an exemption, then we must deduct tax at the highest personal rate, plus the Medicare levy, before paying any distribution to you.

Investor 1 TFN	Investor 2 TFN
<input type="text"/>	<input type="text"/>
OR Exemption code	OR Exemption code
<input type="text"/>	<input type="text"/>

6. Regulated trusts

Super fund? - or another type of trust regulated by an Australian Commonwealth, State or Territory statutory regulator

No Yes—tell us the Trusts ABN, the regulator and any licence number:

The trust's ABN	Regulator if not APRA or the ATO	Any licence number
<input type="text"/>	<input type="text"/>	<input type="text"/>

Go to www.abn.business.gov.au, select the "Super Fund Lookup option" and print out the results for your super fund.

Registered managed investment scheme?

No Yes — ARSN:

Provide an ASIC search of the scheme (www.asic.gov.au)

Government superannuation fund?

No Yes — name the act which regulates the trust:

Provide an extract of the establishing legislation

7. Non-regulated trusts

Including family discretionary trusts, family and other unit trusts, deceased estates and charitable trusts (but not including self-managed super funds).

Does the trust deed name the beneficiaries?

- No
 Yes

If no, please tell us how the beneficiaries are described for example, family members, unit holders, un-named charities.

If yes—please list the beneficiaries below:

Who are the trustees?

No business names or PO boxes please.

Not enough room? Simply write out the details and attach them.

Full name or company name for companies

Date of birth or ACN for companies

Residential address or registered office for companies

Please provide us with certified copies of one of the following:

- an ATO notice** less than 12 months old eg an assessment notice
- a letter from a solicitor or qualified accountant** that confirms the trust name
- certified copy of a page of the trust deed** showing the trust’s name.

If the trustees are individuals, complete section 8, then sign this form.

If the trustee is a company, complete section 9 then sign this form.

8. Individual trustees

Give us details and documents as below for one individual trustee only.

Full name

Date of birth

In relation to that one trustee, please provide a certified copy of one document from Group 1 or if you can’t, a certified copy of two documents from Group 2.

Group 1

Provide a certified copy of one of these:

- Australian driver’s licence**
showing your photo, and please copy the front and back **OR**
- Foreign driver’s licence**
showing your date of birth, signature and photo **OR**
- Australian passport**
a passport that has expired within the preceding two years is acceptable, and please copy the pages which identify you **OR**
- Foreign passport**
showing your signature and photo, and please copy the pages which identify you **OR**
- Australian State or Territory Government issued ID card**
showing your date of birth, signature and photo **OR**
- Foreign Government issued ID card**
showing your date of birth, signature and photo.

Group 2

If you can't provide anything from Group 1, then provide a certified copy of one of the following:

- Australian or foreign government issued birth certificate OR**
- Australian or foreign government issued citizenship certificate OR**
- Centrelink pension or Health card** please copy the front and back

PLUS provide a certified copy of one of the following:

- a **Government issued notice**
one of which shows your name and residential address, not more than 12 months old **OR**
- a **rates or utilities notice**
One which shows your name and residential address, not more than 3 months old **OR**
- ATO notice**
one which shows any debt owing to the ATO, your name and residential address, not more than 12 months old.

Certification: To verify your identity, these documents can be certified by a Justice of the Peace, Doctor, Dentist, Lawyer, Physiotherapist, Pharmacist, Police Officer, Teacher, Accountant, Financial Adviser or Australia Post Officer.

You can now go to Section 10: Signatures

9. Company trustees

Details

Name: the company's full registered name, not a trading or business name

Type of company: Public, private, other (please specify)

Principal place of business

If different from the address you gave earlier. Please don't write a PO box.

Depending on the type of company, please complete subsection 9a, 9b, 9c or 9d.

9a. Regulated companies

Australian listed company?

No Yes—name of exchange:

Majority-owned subsidiary of an Australian listed company?

No Yes—name of the listed company and its exchange:

Regulated company? One which is licensed by an Australian Commonwealth, State or Territory statutory regulator.

No Yes—name the regulator and your licence number:

If you answered yes to any of these questions, then please provide us with a certified copy of one of the following, and sign the form at the end, and unless you are a private company (in which case also complete section 9d), for you this Application form is then complete:

- an ASIC search OR
- a search of the licence or other records of the relevant regulator OR
- a public document issued by the company OR
- a search of the relevant market/exchange.

9b. Public companies

Please provide us with certified copies of one of the following, sign the form at the end, and for you, this Application form is then complete:

- an **ASIC search OR**
- your **certificate of registration issued by the ASIC.**

9c. Private companies

Please provide us with a certified copy of one of the following:

- an **ASIC search OR**
- your certificate of registration

Please also complete the following details:

Not enough room? Simply write out the details and attach them.

Full names of directors

Beneficial owners: that is, individuals who, through one or more shareholdings, own more than 25% of the company's issued capital.

Full names and addresses

Please sign the form at the end: for you this application form is complete.

9d. Foreign companies

Country of formation and registered business address in the country of formation

--

Registered in Australia?

- No
- Yes

If yes, what is the ARBN

--

Registered in that country?

- No
- Yes

If yes, name of the regulator/exchange and the registration number issued by the foreign registration body:

--

Please provide us with certified copies of one of the following, sign the form at the end, and for you, this form is then complete:

- an ASIC or foreign regulator search OR
- an ASIC or foreign regulator certificate of registration

10 Signatures

By signing this form, you (the applicant) are telling us:

- You have read and understood the current Information Memorandum,
- You agree to be bound by the constitution of the Fund and the Information Memorandum, as supplemented, replaced or re-issued from time to time
- all details in this Application are true and correct;
- you are over 18 years of age;
- if this Application is signed under Power of Attorney, the Attorney declares that he/she has not received notice of revocation of that power. An original certified copy of the Power of Attorney should be submitted with this Application;
- you acknowledge that no guarantee is made either as to the return of capital or the investment performance of the Conscious Investor Fund and understand that I may lose capital depending on movements in world financial markets;
- your information will be collected and used in accordance with Privacy Policy of the Conscious Investor Fund;
- you am not aware and have no reason to suspect that the moneys used to fund our investment have been or will be derived from or related to any money laundering, terrorism financing or similar illegal activities, that I will continue to comply with Australian anti-money laundering and counter-terrorism financing laws, and declare that I am not a 'politically exposed' person or organization under these laws;
- you will provide Conscious Capital Ltd with any additional information requested in order for this Application to comply with any laws and regulations of Australia;
- Conscious Capital Ltd may refuse any Application for investment in the Conscious Investor Fund

Signature of trustee or director

Name and title

Date

Signature of trustee or director

Name and title

Date

Post completed application to:

Conscious Investor Fund
c/- Link Fund Solutions
GPO Box 5482
SYDNEY NSW 2001

We usually need to have your completed application and cleared application monies by 5pm at least five clear business days before the end of each month.